

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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**In re** : **Chapter 11**  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **Case No. 08-13555 (SCC)**  
**Debtors.** : **(Jointly Administered)**  
: **Ref. Docket Nos. 60966-  
60968, 60975, 60977, 60978,  
60980-60982**  
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**AFFIDAVIT OF SERVICE**

STATE OF CONNECTICUT )  
 ) ss.:  
COUNTY OF MIDDLESEX )

ANGHARAD BOWDLER, being duly sworn, deposes and says:

1. I am employed as a Director of Client Services by Epiq Corporate Restructuring, LLC, with its principal office located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 1, 2021, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated February 1, 2021, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Angharad Bowdler  
Angharad Bowdler

Sworn to before me this  
8<sup>th</sup> day of February, 2021

/s/ Amy E. Lewis

Notary Public, State of Connecticut  
Acct. No. 100624  
Commission Expires: 8/31/2022

## **Exhibit A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000190542839 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000103073



CREDIT SUISSE  
ATTN: PAUL GILMORE  
ELEVEN MADISON AVENUE  
NEW YORK, NY 10010

CREDIT SUISSE  
CRAVATH, SWAINE & MOORE LLP  
ATTN: RICHARD LEVIN  
WORLDWIDE PLAZA  
825 EIGHTH AVENUE  
NEW YORK, NY 10019

Please note that your claim # 5355829-99 in the above referenced case and in the amount of \$0.00 allowed at \$100,000.00 has been transferred (unless previously expunged by court order)

CREDIT SUISSE AG, SINGAPORE BRANCH  
TRANSFEROR: CREDIT SUISSE  
C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS LLP  
ATTN: STEPHANIE SWEENEY  
200 WEST 41ST ST, 17TH FL  
NEW YORK, NY 10036

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 60975 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/01/2021

Vito Genna, Clerk of Court

/s/Andrea Speelman

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 1, 2021.

## **Exhibit B**

Claim Name	Address Information
BANK JULIUS BAER & CO LTD	TRANSFEROR: UBS AG, ATTN: PATRICK ROOS, BAHNHOFSTRASSE 36, CH-8010 ZURICH SWITZERLAND
BANK VONTobel AG	FAO: MRS. DR. HEIDE SUDEROW GROB, GOTTHARDSTRASSE 43, ZURICH 8002 SWITZERLAND
BANK VONTobel AG	FAO: MRS. DR. HEIDE SUDEROW GROB, GOTTHARDSTRASSE 43, ZURICH 8002 SWITZERLAND
BANK VONTobel AG	FAO: MRS. DR. HEIDE SUDEROW GROB, GOTTHARDSTRASSE 43, ZURICH 8002 SWITZERLAND
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BANK VONTobel AG	FAO: MRS. DR. HEIDE SUDEROW GROB, GOTTHARDSTRASSE 43, ZURICH 8002 SWITZERLAND
BANK VONTobel AG	FAO: MRS. DR. HEIDE SUDEROW GROB, GOTTHARDSTRASSE 43, ZURICH 8002 SWITZERLAND
BANK VONTobel AG	TRANSFEROR: UBS AG, GOTTHARDSTRASSE 43, CORPORATE ACTIONS, ZURICH 8002 SWITZERLAND
BANK VONTobel AG	FAO: MRS. DR. HEIDE SUDEROW GROB, GOTTHARDSTRASSE 43, ZURICH 8002 SWITZERLAND
BANK VONTobel AG	TRANSFEROR: UBS AG, GOTTHARDSTRASSE 43, CORPORATE ACTIONS, ZURICH 8002 SWITZERLAND
BERNER KANTONALBANK AG	TRANSFEROR: CREDIT SUISSE, ATTN: CORDINE JENNI-ARNOLD, BUNDESPLATZ 8, 3011 BERN SWITZERLAND
CREDIT SUISSE	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
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CREDIT SUISSE AG, SINGAPORE BRANCH	TRANSFEROR: CREDIT SUISSE, C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS LLP, ATTN: STEPHANIE SWEENEY, 200 WEST 41ST ST, 17TH FL, NEW YORK, NY 10036
GARZONI, GINO	TRANSFEROR: CREDIT SUISSE, SEEBLICKSTR 15, 8038 ZURICH SWITZERLAND
HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
LEVER, STEPHEN	TRANSFEROR: UNION BANCAIRE PRIVEE, UBP SA, B 33 03 KIARAMAS DANAI 8, JALAN DESA KIARA, MT KIARA, KUALA LUMPUR 50480 MALAYSIA
LONDON INTERNATIONAL CAPITAL LTD.	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE, ATTN: MARCUS VON THIELE, 20-22 WENLOCK ROAD, LONDON N1 7GU UNITED KINGDOM
LONDON INTERNATIONAL CAPITAL LTD.	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE, ATTN: MARCUS VON THIELE, 20-22 WENLOCK ROAD, LONDON N1 7GU UNITED KINGDOM
UBS AG	TRANSFEROR: BANK VONTobel AG, ATTN: HUGO KOLLER, BAHNHOFSTR 45, ZURICH 8001 SWITZERLAND
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UBS AG	TRANSFEROR: BANK VONTobel AG, ATTN: HUGO KOLLER, BAHNHOFSTR 45, ZURICH 8001 SWITZERLAND

Claim Name	Address Information
UBS AG	SWITZERLAND
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, 009C/05GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UBS AG	TRANSFEROR: BANK VONTobel AG, ATTN: HUGO KOLLER, BAHNHOFSTR 45, ZURICH 8001 SWITZERLAND
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: RBS COUTTS BANK AG, 96-98 RUE DU RHONE, GENEVA 1211 SWITZERLAND

<b>Total Creditor Count 36</b>
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